

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name <b>and</b> Ticker or Trading Symbol							ool		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
LANE ALAN J						Silvergate Capital Corp [ SI ]									X Director 10% Owner				
(Last) (First) (Middle)				3. ]	3. Date of Earliest Transaction (MM/DD/YYYY)								F	X Officer (give title below) Other (specify below)					
4250 EXECUTIVE SQUARE, SUITE 300						2/26/2021								I	President and CEO				
(Street)					4. ]	4. If Amendment, Date Original Filed (MM/DD/YYYY)								7) 6	6. Individual or Joint/Group Filing (Check Applicable Line)				
LA JOLLA, CA 92037														X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
(1	City) (St	ate) (Zi	p)											[					
			Table	I - No	n-Der	iva	tive Secu	ırities A	cquir	red,	Disp	osed	of, or B	ene	ficially Owne	ed			
			s. Date	2A. Deemed Execution Date, if any		3. Trans. (Instr. 8)	Code	or I	4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		D) Fo		. Amount of Securities Beneficially Owned following Reported Transaction(s) Instr. 3 and 4)			6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	V	Am	nount	(A) o (D)	r Price					(I) (Instr. 4)	(111541: 1)	
Class A Common Stock											(2)			1	140725		I	By Self and Spouse as Trustees of Lane Trust	
Class A Common Stock														88268		I	By IRA		
Class A Common Stock														1757.8417			I	By Employer 401(k) Plan	
	Tal	ble II - Dei	ivativ	e Secu	rities	Ber	eficially	Owned	l ( <i>e.g.</i> .	, put	ts, ca	ılls, w	arrants	, op	tions, conver	tible secu	ırities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Dee Execution Date, if	on Co	Trans. ode nstr. 8)	5. Number Derivative Securities (A) or Dis (D) (Instr. 3, 4		Acquired posed of	6. Date Exe Expiration		xercisable and n Date		7. Title and A Securities Un- Derivative Se (Instr. 3 and 4		derlying curity	ng Derivative		Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exerci	sable		ration	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Units	<u>(1)</u>	2/26/2021			A		3253		<u>(2</u>	<u>)</u>		<u>(2)</u>	Class Comm Stock	on	3253.0	\$0	3253	D	
Non-Qualified Stock Options	\$127.56	2/26/2021			A		7838		<u>(3</u>	<u>)</u>	2/26	/2031	Class Comm Stock	on	7838.0	\$0	7838	D	
Restricted Stock Units	(1)								<u>(4</u>	<u></u>		<u>(4)</u>	Class Comm Stock	on	8319.0		8319	D	
Non-Qualified Stock Options	\$16.09								<u>(5</u>	0	11/19	9/2029	Class Comm Stock	on	32628.0		32628	D	
Non-Qualified Stock Options	\$4.2								1/27/2	2012	1/27	/2022	Class Comm Stock	on	172363.0		172363	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock.
- (2) The restricted stock units vest in three equal annual installments beginning on February 26, 2022. Vested shares will be delivered within thirty days following the vesting of the restricted stock units, when, subject to any trading restriction, the reporting person will receive the number of Class A Common Stock shares that corresponds to the number of restricted stock units that have become vested on the applicable vesting date, less any shares withheld to satisfy federal, state, and local taxes of any kind.
- (3) The option becomes exercisable in three annual installments beginning February 26, 2022.

- (4) The restricted stock units vest in four equal annual installments beginning on November 19, 2020. Vested shares will be delivered within thirty days following the vesting of the restricted stock units, when, subject to any trading restriction, the reporting person will receive the number of ordinary shares that corresponds to the number of restricted stock units that have become vested on the applicable vesting date, less any shares withheld to satisfy federal, state, local and foreign taxes of any kind.
- (5) The option becomes exercisable in four equal annual installments beginning November 18, 2020.

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
LANE ALAN J 4250 EXECUTIVE SQUARE SUITE 300 LA JOLLA, CA 92037	X		President and CEO				

#### **Signatures**

/s/ John M. Bonino, as Attorney-in-Fact	3/1/2021				
**Signature of Reporting Person	Date				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.