

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
LANE ALA	ΝJ			Si	lvei	rgate	Capital	Cor	p [ S	SI]				,			
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							_X_ Director 10% Owner _X_ Officer (give title below) Other (specify below)  President and CEO					
4250 EXECUTIVE SQUARE, SUITE 300					11/19/2021								President and	ICEO			
(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
LA JOLLA, CA 92037 (City) (State) (Zip)												X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
		ŗ	Гable I - N	on-De	rivat	tive Sec	curities A	cquir	red, D	isposeo	d of	, or Bene	eficially Own	ed			
1. Title of Security (Instr. 3)		2. Trai	ns. Date			3. Trans. C (Instr. 8)	3. Trans. Code (Instr. 8)		4. Securities Acqu or Disposed of (D) (Instr. 3, 4 and 5)		Fo	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)  6. Ownership Form: Direct (D) of Indirect (I) (Instr.		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
		11/1	2/2021			Code	V	Amou	nt (D)		Price	12007		4) D			
Class A Common S				11/19/2021 11/19/2021			M F		2773 1375		-	(1) §219.75	13886			D D	
Class A Common Stock												:	140725		I	By Self and Spouse as Trustees of Lane Trust	
Class A Common S	Stock													88268		I	By IRA
Class A Common Stock												1757.8417			I	By Employer 401(k) Plan	
	Tal	ble II - Deri	ivative Sec	urities	Ben	eficiall	y Owned	(e.g.,	, puts	, calls,	war	rants, o <sub>l</sub>	ptions, conve	tible secu	ırities)	•	
1. Title of Derivate Security (Instr. 3) Conversion or Exercise Price of Derivative Security Security Security Security 3. Trans. Date Execution Date, if any		4. Trans Code (Instr. 8	e Deriva Securit (A) or (D)		es Acquired Disposed of , 4 and 5)		xpiration Date		S E (I	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative de Security (Instr. 5) Be Or Fo	9. Number of derivative Securities Beneficially Owned Following Reported	Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	n T	Γitle	Number of Shares		Transaction(s) (Instr. 4)	(1) (Instr. 4)	
Restricted Stock Units	<u>(2)</u>	11/19/2021		М			2773	<u>C</u>	<u>3)</u>	<u>(3)</u>		Class A Common Stock	2773.0	\$0	5546	D	
Non-Qualified Stock Options	\$16.09							Ĺ	<u>4)</u>	11/19/202	29	Class A Common Stock	32628.0		32628	D	
Restricted Stock Units	(2)							(	<u>5)</u>	<u>(5)</u>	Class A Common Stock		3253.0		3253	D	
Non-Qualified Stock Options	\$127.56							<u>(</u>	<u>6</u>	2/26/203	1	Class A Common Stock	7838.0		7838	D	

## **Explanation of Responses:**

- (1) Restricted stock units convert into Class A Common Stock on a one-for-one basis.
- (2) Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock.
- (3) The restricted stock units vest in four equal annual installments beginning on November 19, 2020. Vested shares will be delivered within thirty days following the vesting of the restricted stock units, when, subject to any trading restriction, the reporting person will receive the number of Class A Common Stock shares that corresponds to the number of restricted stock units that have become vested on the applicable vesting date, less any shares withheld to satisfy federal, state, local and foreign taxes of any kind.
- (4) The option becomes exercisable in four equal annual installments beginning November 18, 2020.

- (5) The restricted stock units vest in three equal annual installments beginning on February 26, 2022. Vested shares will be delivered within thirty days following the vesting of the restricted stock units, when, subject to any trading restriction, the reporting person will receive the number of Class A Common Stock shares that corresponds to the number of restricted stock units that have become vested on the applicable vesting date, less any shares withheld to satisfy federal, state, and local taxes of any kind.
- (6) The option becomes exercisable in three annual installments beginning February 26, 2022.

**Reporting Owners** 

Penarting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
LANE ALAN J 4250 EXECUTIVE SQUARE SUITE 300 LA JOLLA, CA 92037	X		President and CEO				

## **Signatures**

/s/ John M. Bonino, as Attorney-in-Fact	11/23/2021			
**Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.