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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

												-							
1. Name and Address of Reporting Person *					2. I	2. Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
LANE ALAN J					Sil	Silvergate Capital Corp [ SI ]										,			
(Last) (First) (Middle)					3. I	Date	of Earl	iest Tran	saction	1 (MI	M/DI	D/YYYY	7)		X_ Director10% Owner				
4250 EXECUTIVE SQUARE, SUITE 300						11/19/2022									X_ Officer (give title below) Other (specify below)  Chief Executive Officer				
•	(Stre	eet)			4. I	fAr	nendme	ent, Date	Origir	al F	iled	(MM/D	D/YYYY)	. (	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
LA JOLLA, CA 92037						11/22/2022									X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(C	city) (Sta	ate) (Zip)	)		Ru	le 10	)b5-1(c	) Transac	tion Ir	idica	ation	1							
															ide pursuant to tions of Rule				en plan
		,	Table	I - No	on-Der	ivat	ive Sec	urities A	cquir	ed, I	Disp	osed o	of, or Be	nei	ficially Owne	d			
1. Title of Security 2. Trans. 1				2A.	Deemed	3. Trans.		4. S	Securities Acq Disposed of (I		D) Fol (In		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			6.			
(Instr. 3)						Execution Date, if any		(Instr. 8)	T	(Ins						4 and 5) (A) or		Form: Direct (D) or Indirect (I) (Instr.	
Class A Common Stock				11/19/2022				Code M	V	Am	ount 73	(D) <b>A</b>	Price (1)	2773		4) <b>D</b>			
Class A Common St	ock			11/19	0/2022			F		13	75	D	\$24.90			1398		D	
Class A Common Stock													:		153945		I	By Self and Spouse as Trustees of Lane Trust	
Class A Common St	tock															88268		I	By IRA
Class A Common Stock														1757.8417			I	By Employer 401(k) Plan	
	Tab	ole II - Deri	vative	Secu	rities ]	Ben	eficially	y Owned	(e.g.,	put	s, ca	ılls, wa	arrants,	op	tions, conver	tible secu	ırities)		
1. Title of Derivate Security (Instr. 3)  2. Conversion of Exercise Price of Derivative Security  3. Trans. Date Execution Date, if the Date of Date, if the Date of Derivative Security			ion	4. Trans. Code (Instr. 8)	Derivative Securities		ve es Acquired isposed of	6. Date Exer Expiration I				7. Title and A Securities Un Derivative Se (Instr. 3 and 4		derlying curity		9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	V (A)		(D)	Date Exerci	sable		ration	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Units	(2)	11/19/2022			M			2773	<u>(3</u>	).		<u>(3)</u>	Class A Commo Stock	n	2773.0	\$0	2773	D	
Restricted Stock Units	(2)								(4	).	<u>(4)</u>		Class A Common Stock		2169.0		3253	D	
Restricted Stock Units	(2)								<u>(5</u>	).	(5).		Class A Common Stock		5977.0		5977	D	
Non-Qualified Stock Options (6)	\$16.09								<u>(7)</u>		11/1	9/2029	Class A Commo Stock		16314.0 (6)		16314 <sup>(<u>6</u>)</sup>	D	
Non-Qualified Stock Options	\$127.56								<u>(8</u>	).	2/26	5/2031	Class A Commor Stock		7838.0		7838	D	
Non-Qualified Stock Options	\$110.84								(9	).	2/22	2/2032	Class A Commo Stock	n	13810.0		13810	D	

- (1) Restricted stock units convert into Class A Common Stock on a one-for-one basis.
- (2) Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock.
- (3) The restricted stock units vest in four equal annual installments beginning on November 19, 2020. Vested shares will be delivered within thirty days following the vesting of the restricted stock units, when, subject to any trading restriction, the reporting person will receive the number of ordinary shares that corresponds to the number of restricted stock units that have become vested on the applicable vesting date, less any shares withheld to satisfy federal, state, local and foreign taxes of any kind.
- (4) The restricted stock units vest in three equal annual installments beginning on February 26, 2022. Vested shares will be delivered within thirty days following the vesting of the restricted stock units, when, subject to any trading restriction, the reporting person will receive the number of Class A Common Stock shares that corresponds to the number of restricted stock units that have become vested on the applicable vesting date, less any shares withheld to satisfy federal, state, and local taxes of any kind.
- (5) The restricted stock units vest in three equal annual installments beginning on February 22, 2023. Vested shares will be delivered within thirty days following the vesting of the restricted stock units, when, subject to any trading restriction, the reporting person will receive the number of Class A Common Stock shares that corresponds to the number of restricted stock units that have become vested on the applicable vesting date, less any shares withheld to satisfy federal, state, and local taxes of any kind.
- (6) This amendment is being filed to correct the reporting person's holding of Non-Qualified Stock Options with an exercise price of \$16.09 (the "Options") from the previously reported erroneous figure of 32,628 Options to the correct number of 16,314 Options (following an exercise of 16,314 Options on 7/21/22 as reported by the reporting person on a Form 4 filed on 7/22/22). This error was carried forward on two subsequent Form 4s filed on 2/24/23 and 2/28/23, as well as an omission of these Options from a Form 4/A filed on 8/10/22.
- (7) The option becomes exercisable in four equal annual installments beginning November 18, 2020.
- (8) The option becomes exercisable in three annual installments beginning February 26, 2022.
- (9) The option becomes exercisable in three annual installments beginning February 22, 2023.

## Remarks:

See footnote 6 for an explanation of this amendment.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships								
Reporting Owner Ivanie / Address	Director	10% Owner	Officer	Other					
LANE ALAN J 4250 EXECUTIVE SQUARE SUITE 300 LA JOLLA, CA 92037	X		Chief Executive Officer						

### **Signatures**

/s/ John M. Bonino, as Attorney-in-Fact 3/29/2023

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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